## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

obligations may continue. See Instruction 1(b).		
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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			( <i>)</i>					
1. Name and Add Sepulveda	ress of Reporting Pers Carlos M	son*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Triumph Bancorp, Inc.</u> [ TBK ]		ationship of Reporting Person(s) to Issue k all applicable) Director 10% Owne			
	(First) ENTRAL DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2020		Officer (give title below)	Other (specify below)		
SUITE 1700			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)				X	Form filed by One Rep	orting Person		
DALLAS	ALLAS TX 75251				Form filed by More tha Person	n One Reporting		
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactior Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	11/06/2020		<b>G</b> <sup>(1)</sup>	v	12,000	D	\$0.00	422,663	D		
Common Stock	11/24/2020		<b>G</b> <sup>(1)</sup>	v	13,178	D	\$0.00	409,485	D		
Common Stock	02/01/2021		A		779 <sup>(2)</sup>	Α	<b>\$0.00</b> <sup>(2)</sup>	410,264 <sup>(3)</sup>	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents a gift by the reporting person to a 501(c)(3) charitable organization.

2. Represents shares of common stock of Issuer granted to the reporting person under Issuer's 2014 Omnibus Incentive Plan. All of such shares were fully vested as of the date of grant.

3. Consists of (i) 346,538 shares beneficially owned by the reporting person and (ii) 63,726 shares beneficially owned with spouse Susan S. Sepulveda.

**Remarks:** 

Adam D. Nelson, Attorney-in-02/03/2021

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\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.