SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287						
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1. Name and Address of Reporting Person* Davis Richard Loren			2. Issuer Name and Ticker or Trading Symbol <u>Triumph Bancorp, Inc.</u> [TBK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
	(First) C CENTRAL DF	(Middle) RIVE	3. Date of Earliest Transaction (Month/Day/Year) 10/27/2020	Officer (give title Other (specify below) below)				
SUITE 1700			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) DALLAS TX 75251				Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Таыс	I - NOII-Derivative	occurrics Act	lanca	, Dia	poscu or	, 01 DC	neneian	ly Owned		
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/27/2020		S		5,000	D	\$44.6	64,024 ⁽¹⁾	D	
Common Stock	10/27/2020		G ⁽²⁾		1,035	D	\$0.00	62,989 ⁽³⁾	D	
Common Stock								75,979 ⁽⁴⁾	I	By Rick Davis 2006 Family Trust
Common Stock								75,979 ⁽⁵⁾	I	By Sheree Davis 2006 Children's Trust
Depository Shares ⁽⁶⁾								20,000	D	

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 3. Transaction 10. Derivative Conversion Execution Date, Amount of Derivative derivative of Indirect Transaction Expiration Date Ownership (Month/Day/Year) if any Derivative (Month/Day/Year) Security or Exercise Code (Instr. Securities Security Securities Form: Beneficial Securities Ownership (Instr. 4) (Instr. 3) Price of (Month/Day/Year) 8) Underlying (Instr. 5) Beneficially Direct (D) Acquired or Indirect (I) (Instr. 4) Derivative Derivative Owned (A) or Security (Instr. 3 and 4) Security Following Disposed of (D) Reported Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Date Expiration of Code v (A) (D) Exercisable Title Date Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

Explanation of Responses:

1. Consists of (i) 21,347 shares beneficially owned by reporting person, and (ii) 42,677 shares of common stock held jointly with reporting person's spouse Sheree Davis.

2. The transaction reported involved a gift by the reporting person to a 501(c)(3) charitable organization.

3. Consists of (i) 21,347 shares beneficially owned by the reporting person and (ii) 41,642 shares of common stock jointly held with reporting persons spouse Sheree Davis.

4. These 75,979 shares are beneficially owned by reporting person as trustee of the Rick Davis 2006 Family Trust. Reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

5. These 75,979 shares are beneficially owned by reporting person as trustee of the Sheree Davis 2006 Children's Trust. Reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

6. Each Depository Share represents a 1/40th interest in a share of the Issuer's 7.125% Series C Fixed Rate Non-Cumulative Perpetual Preferred Stock, par value \$0.01 per share. Shares purchased in an underwritten public offering.

Remarks:

/s/ Adam D. Nelson, Attorney-10/28/2020

<u>in-fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.