SEC Form 4
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Triumph Bancorp, Inc.</u> [ TBK ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Graft Aaron P</u>			<u>intumpir Buileorp, inter</u> [ iBR ]	X	Director	10% Owner			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
12700 PARK CENTRAL DRIVE		RIVE	10/26/2020		President & CEO				
SUITE 1700									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi <sup>,</sup> Line)	vidual or Joint/Group Fil	ing (Check Applicable			
DALLAS	ТХ	75251		X	Form filed by One Re	eporting Person			
					Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or Brico Tr		Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	10/26/2020		S		19,101	D	<b>\$45.71</b> <sup>(1)</sup>	291,418 <sup>(2)</sup>	D	
Common Stock	10/27/2020		S		4,863	D	\$44.4	286,555 <sup>(3)</sup>	D	
Common Stock								3,315 <sup>(4)</sup>	Ι	By Spouse

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported price in column 4 represents the weighted average sale price. Reporting person shall provide, upon request by the Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

2. Consists of (i) 283,735 shares beneficially owned by reporting person, and (ii) 7,683 shares of restricted stock of the reporting person subject to future vesting requirements.

3. Consists of (i) 278,872 shares beneficially owned by reporting person, and (ii) 7,683 shares of restricted stock of the reporting person subject to future vesting requirements.

4. 3,315 shares indirectly owned through reporting person's spouse, by Goldman Sachs custodian FBO Kimberly Graft Roth IRA.

**Remarks:** 

#### Adam D. Nelson, Attorney-in-10/28/2020

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\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.