FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response | : 0.5 | | | | | | | |

| | Check this box if no longer subjec |
|--------|------------------------------------|
| | to Section 16. Form 4 or Form 5 |
| \cup | obligations may continue. See |
| | Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | | 1 | | ,,,, | | | | ipariy Act C | | | _ | | | | | | |
|--|---|---------|--------------|---|---|--|--------|--|--|--------------------|--------------|--|---|---------------------------|---|--|---------|---|---------------------------------------|--|
| Name and Address of Reporting Person* Miller Maribess L | | | | 2. Issuer Name and Ticker or Trading Symbol Triumph Financial, Inc. [TFIN] | | | | | | | | (Ch | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| WHITE WIGHTOCSS E | | | | | 0.0 | | | | | | | | | | X Dire | | | 10% O\ | | |
| (Last) (First) (Middle) | | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/03/2023 | | | | | | | | | Offic belo | er (give title w) | | Other (s | specify | | | |
| 12700 PARK CENTRAL DRIVE | | | | | 1 If Δ | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6 11 | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| SUITE 1700 | | | | 4. II Americanient, Date of Original Filed (Month/Day/Teal) | | | | | | | | | Line) | | | | | | | |
| | | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| (Street) | • • | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | |
| DALLA | 3 12 | , | 3231 | | Dulo 10hE 1(a) Transportion Indication | | | | | | | | | | | | | | | |
| (0:1) | (0) | | - · \ | | Kuit | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | | |
| (City) | (St | ate) (Z | Zip) | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | |
| | | Table | I - Nor | า-Deriva | tive S | ecur | rities | Acq | uired, I | Disp | osed of | , or | Ben | eficia | lly Ow | ned | | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day) | | | | Exec ay/Year) if any | | Deemed cution Date, ny nth/Day/Year) | | 3. Transaction Code (Instr. 8) 4. Securit Disposed and 5) | | | | | | Secu | ficially d | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | Code | v | Amount | (A) or Price | | Price | | rted action(s) 3 and 4) | ľ | | ` | | |
| Common Stock 07/ | | | | 07/03/2 | 2023 | | | | A | | 571(1) | | Α | \$0 ⁽¹⁾ | 1 | 18,847 | | D | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | |
| | | | | (e.g., pu | ıs, cai | 15, V | varra | ınıs, | option | 5, 0 | onvertib | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | rative Conversion Date Execution Date, rity or Exercise (Month/Day/Year) if any | | | ion Date, | 4. Transaction Code (Instr. 8) | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and | | ; [[S | 3. Price of Derivative Security Instr. 5) | | y 0 | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | or Nun of | ount nber res | | | | | | | |

Explanation of Responses:

1. Represents shares of common stock of issuer granted to the reporting person under Issuer's 2014 Omnibus Incentive Plan. All of such shares were fully vested as of the date of grant.

Remarks:

/s/ Adam D. Nelson, Attorney-in-fact 07/06/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.