SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1 I. Marile and Address of Reporting Ferson			2. Issuer Name and Ticker or Trading Symbol <u>Triumph Bancorp, Inc.</u> [TBK]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 12700 PARK ((First) CENTRAL DRI	(Middle) IVE SUITE 1700	3. Date of Earliest Transaction (Month/Day/Year) 04/01/2016		Officer (give title below)	Other (specify below)			
(Street) DALLAS	ТХ	75251	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	,				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	04/01/2016		A		1,260 ⁽¹⁾	Α	\$0.00 ⁽¹⁾	141,403 ⁽²⁾	D		
Common Stock								7,798 ⁽³⁾	I	By wells Fargo Bank NA FBO reporting ⁽³⁾	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Series B Preferred Stock ⁽⁴⁾	(4)							(4)	(4)	Common Stock	141,057		141,057 ⁽⁴⁾	D	

Explanation of Responses:

1. Represents shares of common stock of Issuer granted to the reporting person under Issuer's 2014 Omnibus Incentive Plan. All of such shares were fully vested as of the date of grant.

2. Consists of (i) 140,415 shares of common stock of Issuer directly held by reporting person, and (ii) 988 shares of restricted stock of the reporting person subject to future time vesting requirements.

3. These 7,798 shares of common stock of Issuer are held for the benefit of reporting person by Wells Fargo Bank, National Association, pursuant to a holdback escrow agreement entered into in connection with Issuer's acquisition of Triumph Community Bank in October 2013.

4. Reporting person has the right to acquire 141,057 shares of common stock of Issuer through the conversion of 20,325 shares of Series B Convertible Preferred Stock at a conversion rate of 6.94008 shares of common stock for every one share of Series B Preferred Stock. Such conversion may be effected at any time while such preferred shares are outstanding.

Remarks:

/s/ Adam D. Nelson Attorneyin-fact

04/05/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.